OPR/ASSIGNMENTS

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UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER

OF PATENTS AND TRADEMARKS Washington, D.C. 20231

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COLEMAN SUDOL SAPONE, P.C. R. NEIL SUDOL 714 COLORADO AVENUE BRIDGEPORT, CT 06605-1601 RECEIVED MAR 1 2 2004 TECHNOLOGY CENTER R3700

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/20/2004

REEL/FRAME: 014359/0721

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WILK, PETER J.

DOC DATE: 02/18/2004

ASSIGNEE:

WILK PATENT DEVELOPMENT CORPORATION 160 THIRD AVENUE NEW YORK, NEW YORK 10003

SERIAL NUMBER: 09678134

PATENT NUMBER:

FILING DATE: 10/04/2000

ISSUE DATE:

2/24/04 6:28 PAGE 3/4 RightFAX

014359/0721 PAGE 2

THERESA FREDERICK, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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Coleman Sudol Sapone P.C 02/20/2004

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RECORDATION FORM COVER SHEET **PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

Docket No: W07-473

To the Honorable mmissioner of Patents and Trademarks: Please record the attached original documents or copy

TR ACT	thereot.	(100)	
. Name of conveying party(ies):	\ 2	Name and address of receiving party(ies):	
WILK, Peter J.		WILK PATENT DEVELOPMENT CORPORATION	
		į ·	CL
Additional name(s) of conveying party(ies) Yes	X No	Internal Address: RECEIV	
Additional name(s) of conveying party(ies) Yes attached?	<u> </u>		
3. Nature of conveyance:		MAR 1 2 20	JU4
Y Assignment Merger		Street Address: 160 Third Avenue TECHNOLOGY CENT	(ER R
X Assignment Merger		1200	
Security Agreement Change of	f Name		
		City: New York State: NY Zip: 10003	
Other Respective 02/18/04		Additional name(s) & address(es) attached? Yes X No	
Execution Dates: 4. Application number(s) or patent number(s):			
If this document is being filed together with a is:	a new application	1 -	
		B. Patent No.(s):	09678134
A. Patent Application No. 09/678,134		<i>b</i> , <i>i</i> atom 110.(0).	829
Additional numbers attached?	•	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
5. Name and address of party to whom corresp	ondence	6. Total number of applications and patents	040838
concerning document should be mailed:	-	involved: 7. Total fee (37 C.F.R. 3.41) \$40.00	04
Name Coleman Sudol Sapone, P.C.			
		Enclosed	\$40.00
Internal Address:		X Authorized to be charged to deposit account	\$4
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Street Address: 714 Colorยdo Avenue		8. Deposit account number: 04-0838	
City: Bridgeport State: CT ZIP:	: 06605-1601	(Attach duplicate copy of this page if paying by deposit account)	
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Statement and Signature. To the best of many attached copy is a true copy of the original.	ny knowledge ai ginal document.	and belief, the foregoing information is true and correct and	
	7/,	February 20, 2004	
R. Neil Sudol, Reg. No. 31,669	1 A	no have	•
Name of Person Signing	Siç	gnature Date	
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Total number of pages including cover sheet	t, attachments, and	gocument,	

ASSIGNMENT

For one dulist (\$1.00) and other valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned (hereinafter "Assignor") hereby sells, assigns and transfers to Wilk Patent Development Corporation, a Corporation of New York, having a principal place of business at 160 Third Avenue, New York, New York 10003, its successors, legal representatives, assigns and nominees (hereinafter "Assignee"), may/our entire right, title and interest in, to, and under:

Assignors' Provisional Patent Application entitled: ₽...

MIIH	OD AND DEVI	CE FOR IMPROV	ING CA	RDIAC FUN	NOU
	Executed On:	60//80434	T35 - 31	10/04/2000	

	Executed On: _		4 7 (7 4 10 0 0 0
<u>}``</u> `	Serial Number:	09/678,134 Filed	10/04/2000

- Any and all inventions described in said provisional patent application. b.
- Any and all nonprovisional, divisional, continuation, renewal, and Ç. substitute applications that may be filed for United States Letters Patent for may and all of said inventions.
- Any and all patents that may be granted on the foregoing applications d. and any and all continuations, reissues, or extensions thereof.
- Any and all improvements thereon or relating thereto which assignor has ŧ. invented.
- Any and all rights in foreign countries under such applications and £. inventions, including the right to claim the priority date of the United States provisional application and the right to priority under such application under the International Convention.

Assignor hereby authorizes and requests the Commissioner of Patents to issue any and all such Letters Patent for said invention to said Assignee.

Assignor further covenants and warrants that, to the best of his/her knowledge, the rights and properties herein conveyed are free and clear of any encumbrances and Assignce shall hold and enjoy any and all inventions, application, and Letters Patent issued thereon for its own use as fully and entirely as the Assignor would have held and enjoyed said rights had this assignment and sale not been made, including the full right of the Assance to convey said rights.

Assignor in ther agrees on his/her own behalf, and on behalf of his/her heirs, legal representatives, administrators, and assigns, at any time, upon request, without additional compensation, but at no expense, to:

RNO 407-479

- 1. Communicate to said Assignee any facts relating to the invention and the history thereof:
- 2. Execute and deliver all papers and do all acts which may be necessary, desirable, or convenient to secure, maintain, and enforce patents for said invention in any and all countries; and to execute and deliver any and all papers or instruments that in the opinion of Assignee may be necessary or desirable to vest title thereto in Assignee or its morninees, including the execution and delivery of any and all papers or instruments to carry out the terms of this Agreement, and to secure to Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed; and
 - Test fy at all proceedings concerning said invention and patents.

Full Name of First Inventor:	Full Name of Second In	Full Name of Second Inventor:		
WILK, Poter J.				
Pat Works				
Inventor's Signature Date	Inventor's Signature	Date		
Minners & Patrician Kir	Witness:	·		
Witness: Print Name		Name		
Yatriin Livera	2/19/04			
Signature Date	Signature	Date		

RNS W07-473





united states department of commerce Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER DF PATENTS AND TRADEMARKS Washington, D.C. 20231

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COLEMAN SUDOL SAPONE, P.C. R. NEIL SUDOL 714 COLORADO AVENUE BRIDGEPORT, CT 06605-1601

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/12/2002

REEL/FRAME: 013238/0797

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WILK, PETER J.

DOC DATE: 11/12/2002

ASSIGNEE:

WILK PATENT DEVELOPMENT CORPORATION -160 THIRD AVENUE NEW YORK, NEW YORK 10003

SERIAL NUMBER: 09900126

PATENT NUMBER:

FILING DATE: 07/06/2001

ISSUE DATE:

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MAR 1 2 2004 TECHNOLOGY CENTER R3700 Coleman Sudol Sapone P.C

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VER SHEET

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

Docket No: W07-485

the Honorabi Commissioner of Patents and Trademarks: Please record the attached original documents or copy THE TRE thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies) Peter J. WILK Name: Wilk Patent Development Corporation RECEIVED Internal Address: Additional name(s) of conveying party(les) No MAR 1 \$ 2004 attached 3. Nature of conveyance: TECHNOLOGY CENTER R3700 Street Address: 160 Third Avenue Assignment Merger Security Agreement Change of Name State New York ZIP 10003 City New York Other Additional name(s) & address(es) attached? χNο **Execution Date:** November 12, 2002 Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application B. Patent No.(s): A. Patent Application No.(s): 09/900,126 Additional numbers attached? 5. Name and address of party to whom correspondence 6. Total number of applications and patents concerning document should be mailed: involved: Name: Coleman Sudol Sapone, P.C. 7. Total fee (37 C.F.R. 3.41)..... Internal Address: Enclosed Authorized to be charged to deposit account specified in Item No. 8. Street Address: 714 Colorado Avenue 8. Deposit account number: 04-0838 City: Bridgeport State: CT ZIP: 06605-1601 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE 9. Statement and Signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. November 12, 2002 R. Nell Sudol, Reg. No. 31,669 Name of Person Signing Date

Total number of pages including cover sheet, attachments, and document: 3

For one dollar (\$1.00) and other valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned (hereinafter "Assignor") hereby sells, assigns and transfers to Wilk Patent Development Corporation, a Corporation of New York, having a principal place of business at 160 Third Avenue, New York, New York 10003, its successors, legal representatives, assigns and nominees (hereinafter "Assignee"), my/our entire right, title and interest in, to, and under:

a. Assignors	'Provisional	l Patent Application entitled
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INTRAPERICARDIAL ASSIST METHOD

	Executed On:				
<u>X</u>	Serial Number:	09/900,126	Filed:	07/06/2001	

- Any and all inventions described in said provisional patent application.
- c. Any and all nonprovisional, divisional, continuation, renewal, and substitute applications that may be filed for United States Letters Patent for any and all of said inventions.
- d. Any and all patents that may be granted on the foregoing applications and any and all continuations, reissues, or extensions thereof.
- Any and all improvements thereon or relating thereto which assignor has invented.
- f. Any and all rights in foreign countries under such applications and inventions, including the right to claim the priority date of the United States provisional application and the right to priority under such application under the International Convention.

Assignor hereby authorizes and requests the Commissioner of Patents to issue any and all such Letters Patent for said invention to said Assignee.

Assignor further covenants and warrants that, to the best of his/her knowledge, the rights and properties herein conveyed are free and clear of any encumbrances and Assignee shall hold and enjoy any and all inventions, application, and Letters Patent issued thereon for its own use as fully and entirely as the Assignor would have held and enjoyed said rights had this assignment and sale not been made, including the full right of the Assignee to convey said rights.

Assignor further agrees on his/her own behalf, and on behalf of his/her heirs, legal representatives, administrators, and assigns, at any time, upon request, without additional compensation, but at no expense, to:

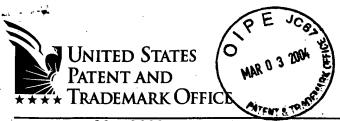
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Evil Name of Plant Important

- 1. Comminicate to said Assignce any facts relating to the invention and the history thereof;
- 2. Execute and deliver all papers and do all acts which may be necessary, desirable, or convenient to secure, maintain, and enforce patents for said invention in any and all countries; and to execute and deliver any and all papers or instruments that in the opinion of Assignee may be necessary or desirable to vest title thereto in Assignee or its nominees, including the execution and delivery of any and all papers or instruments to carry out the terms of this Agreement, and to secure to Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed; and
 - 3. Testify at all proceedings concerning said invention and patents.

Eng Mains of Liest Inver	rot:	Full Name of Secon	ia machiol:
WILK, Peter J.	The med or		
Inventor's Signature	Date	Inventor's Signature	e Date
Witness: 1HOMFFINA/	FIDRELLA	Witness:	
(Louisin)	ame /	P	rint Name
Signature	Date //-/2-02	Signature	Date



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APRIL 29, 2002

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COLEMAN SUDOL SAPONE, P.C. R. NEIL SUDOL 714 COLORADO AVENUE BRIDGEPORT, CT 06605-1601 Commissioner for Trademarks Arlington, VA 22202-3513 www.uspto.gov



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RECORDATION DATE: 02/25/2002

REEL/FRAME: 012621/0812

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WILK, PETER J.

DOC DATE: 02/04/2002

ASSIGNEE:

WILK PATENT DEVELOPMENT CORPORATION 160 THIRD AVENUE NEW YORK, NEW YORK 10003

SERIAL NUMBER: 09435525

PATENT NUMBER:

FILING DATE: 11/08/1999

ISSUE DATE:

SERIAL NUMBER: 09426744 PATENT NUMBER: 6258021 FILING DATE: 10/25/1999 ISSUE DATE: 07/10/2001

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COLEMAN SUDOL SAPONE, P.C

U.S. DEPARTMENT OF REC(03-07-2002 HEET COMMERCE Patent and Trademark Office Docket No: W07-431 To the Homorable Comi ie attached original documents or copy 102004768 httparty(ies): Name and address of receiving party(ies) Peter J. WILK Name: Wilk Patent Development Corporation Internal Address: nveying party(ies) Yes No 3. Nature of conveyance: Street Address: 160 Third Avenue * Assignment Meraer Security Agreement Change of Name City New York ZIP 10003 State New York Other **Execution Date:** Additional name(s) & address(es) attached? February 4, 2002 X No Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s): 09/435,525 B. Patent No.(s): 6,258,021 Additional numbers attached? No 6. Total number of applications and patents Name and address of party to whom correspondence 2 concerning document should be mailed: involved: Name: Coleman Sudol Sapone, P.C. 7. Total fee (37 C.F.R. 3.41)..... \$80.00 Internal Addresse PTO did not receive the following Enclosed listed item(s) -Authorized to be charged to deposit account. Street Address: 714 Colorado Avenue 8. Deposit account number: 04-0838 City: Bridgeport State: CT ZIP: 06605-1601 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE Statement and Signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a

Total number of pages including cover sheet, attachments, and document: 3

4 Feb. 2002

true copy of the original document.

R. Neil Sudol, Reg. No. 31,669 Name of Person Signing Coleman Sudol Sapone P.C

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ASSIGNMENT

WHEREAS, 1, Peter J. Wilk, citizen of the United States of America, having an office at 160 Third Avenue, New York, New York 10003, have invented new and useful improvements in METHOD AND DEVICE FOR IMPROVING CARDIAC FUNCTION, for which I have filed application No. 09/435,525 for Letters Patent of the United States, said application being filed on November 8, 1999.

WHEREAS, I, Peter J. Wilk have been awarded U.S. Patent No. 6,258,021.

WHEREAS, Wilk Patent Development Corporation (hereinafter "WILK PATENT"), a corporation organized and existing under the laws of the State of New York, having a place of business at 160 Third Avenue, New York, New York 10003, is desirous of acquiring the entire right, title and interest in and to the application and invention therein described and claimed and any Letters Patent which may be issued upon the application or for improvements therein contained and is additionally desirous of acquiring the entire right, title and interest in and to U.S. Patent No. 6,258,021 and invention therein described and claimed.

Now, THEREFORE, in consideration of my employment by said WILK PATENT and other good and valuable considerations, to me in hand paid, the receipt and sufficiency of which are hereby acknowledged, I, Peter J. Wilk, have sold, assigned and transferred and do hereby sell, assign and transfer unto said WILK PATENT, its successors and assigns, the entire right, title and interest in and to U.S. Patent No. 6,258,021 and the inventions therein disclosed and my entire right, title and interest in and to the application and to the invention therein disclosed and the entire right, title and interest in and to any Letters Patent which may issue thereon, and the right to apply for any Letters Patent in the United States of America and in any and all foreign countries on said inventions, including all divisional, renewal, substitute and continuation applications based in whole or in part upon said inventions, upon U.S. Patent No. 6,258,021 or upon any and all Letters Patents that may issue on the application, and every priority right that is or may be predicated upon or arise from said inventions, the same to be held and enjoyed by said WILK PATENT, for its own use and enjoyment and for the use and enjoyment of its successors and assigns, to the full end of the term for which any said Letters Patent are granted, as fully and as entirely as the same would have been held and enjoyed by me had this Assignment and sale not been made.

And I hereby authorize said WILK PATENT to file patent applications in any or all other countries on said inventions in my name or in the name of said WILK PATENT or otherwise as said WILK PATENT may deem advisable.

And I hereby authorize the empowered officials of all governments to issue or transfer any said Letters Patent granted, to said WILK PATENT, as assignee of the entire right, title and interest therein or otherwise as said WILK PATENT may direct, in accordance with this instrument or assignment.

Coleman Sudol Sapone P.C

2003

In further consideration of said good and valuable considerations, I, Peter J. Wilk, further represent and warrant to said WILK PATENT, its successors and assigns, that there are no rights and interests outstanding inconsistent with the rights and interests granted herein and that I have not executed any instrument or granted or transferred any rights or interests inconsistent with the rights and interests granted herein and that I will not execute any instrument or grant or transfer any rights or interests inconsistent herewith, and I bind myself, my heirs, executors. administrators and legal representatives, as the case may be, to execute and deliver to said WILK PATENT, its successors and assigns, and I hereby irrevocably appoint said WILK PATENT as my true and lawful attorney-in-fact on my and their behalf and in my and/or their name, place and stead to execute, any further documents or instruments and do any and all further acts that may be deemed necessary or desirable by said WILK PATENT, its successors and assigns, to vest in said WILK PATENT, its successors and assigns, the title whose conveyance is made hereby, and to enable such title to be recorded in the United States and all other countries, and to enable said WILK PATENT to sustain or reissue any said Letters Patent granted, and to maintain, perfect, support and protect the right, title and interest of said WILK PATENT, its successors and assigns, in and to the said inventions, and any Letters Patent granted, particularly in cases of interference and litigation.

And I further convenant and agree, in consideration of the premises, that I, my executors and administrators, will at any time upon request of said WILK PATENT, its successors or assigns, and at its expense, communicate to said WILK PATENT, its successors and assigns, any facts relating to the inventions and improvements and the history thereof, known to me or my heirs, executors, administrators or legal representatives, and that I will testify as to the same in any interference, or other litigation when requested to do so by said WILK PATENT, its successors and assigns.

IN TESTIMONY WI January; 2002. February	HEREOF, I have hereunto set my hand	and scal this day of day of
d		Peter J. Wilk
WITNESSED BY: First witness' signature: First witness' name: First witness' address: 3	Diana Beaser Streets Del Decatur Ale. BRONX, NY 10467	·